

PATENT
DOCKET NO.: CSI-2016CP1

#4

**DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION
(37 C.F.R. § 1.63)**

AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **SELF-CLOSING SURGICAL CLIP FOR TISSUE**, the specification of which is attached hereto unless the following box is checked:

☒ was filed on **5/2/2001** as United States Application Serial No. **09/847,947** or PCT International Application No., and was amended on _____
(if applicable).

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States of America listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application Number	Country	Foreign Filing Date (month/day/year)	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number.	Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of the United States application(s), or § 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (month/day/year)	Parent Patent Number (if applicable)
09/847,716	5/1/2001	


Please direct all communications to:

Harry J. Macey
P.O. Box 475845
San Francisco, CA 94147-5845
Telephone: (415) 929-2544
Facsimile: (415) 929-2544

Please direct all telephone calls to Harry J. Macey at (415) 929-2544

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title of 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 11/27/01

By: 

Name: Stephen Ainsworth
Residence: 164 Villa Avenue, Los Gatos, CA 95030
Citizenship: USA
Post Office Address: same as above

Date: 11/28/01

By: 

Name: Jianhua Yang
Residence: 113 White Road, Mountain View, CA 94949
Citizenship: China
Post Office Address: same as above

11/28/01
JY

Date: _____

By: _____

Name:
Residence:
Citizenship:
Post Office Address: same as above

Date: _____

By: _____

Name:
Residence:
Citizenship:
Post Office Address: same as above

Date: _____

By: _____

Name:
Residence:
Citizenship:
Post Office Address: same as above

COPY

PATENT

Docket No. CSI-2016CP1

ASSIGNMENT
JOINT

THIS ASSIGNMENT, by Stephen Ainsworth and Jianhua Yang (hereinafter referred to as the assignors), residing at 164 Villa Avenue, Los Gatos, CA 95030 and 113 White Road, Mountain View, CA 94949, respectively, witnesseth:

11/28/01
SY

WHEREAS, said assignors have invented certain new and useful improvements in **SELF-CLOSING SURGICAL CLIP FOR TISSUE**, set forth in an application for Letters Patent of the United States, filed on 5/2/2001 and assigned Serial No. 09/847,947 and;

WHEREAS, **Coalescent Surgical, Inc.** a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 559 Weddell Drive, Sunnyvale, California 94089-2114 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

as of 11/27/01
SY

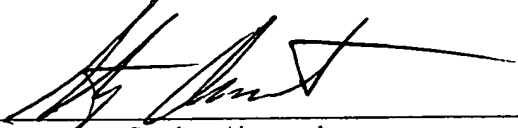
NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto said assignee, its successors, legal representative and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representative and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the joint and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

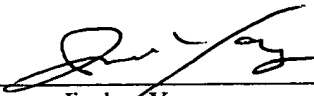
AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representative and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, Legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

11/27/01
Date


Name Stephen Ainsworth

11/28/01
Date


Name Jianhua Yang